## Chapter 6 European Universities and Educational and Occupational Intergenerational Social Mobility

**Marek Kwiek** 

#### 6.1 Theoretical Contexts

European higher education systems in the last few decades have been in a period of 7 intensive quantitative expansion. Both participation rates and student numbers in 8 most European countries are still growing – but are the chances of young people 9 from lower socioeconomic classes to enter universities higher than before? Under 10 massification conditions, are the chances of young people from poorer backgrounds 11 actually increasing, relative to increasing chances of young people from higher 12 socioeconomic classes and wealthier backgrounds? Are both overall social mobility 13 and relative social mobility of underrepresented classes increasing at the same rate? 14 That is a question about changing social mobility *relative* to the share of particular 15 socioeconomic classes in the population as a whole. Social mobility in increasingly 16 knowledge-driven economies is powerfully linked to equitable access to higher 17 education. And the question of inequality in access to higher education is usually 18 asked today in the context of educational expansion: 19

the key question about educational expansion is whether it reduces inequality by<br/>providing more opportunities for persons from disadvantaged strata, or magnifies inequality,<br/>by expanding opportunities disproportionately for those who are already privileged.20<br/>21<br/>22<br/>22<br/>23(Arum et al. 2007: 1)23

Educational expansion, in most general terms, and in the majority of European 24 countries studied, seems to be reducing inequality of access. There are ever more 25

M. Kwiek (🖂)

Technical and Vocational Education and Training: Issues, Concerns and Prospects 20, DOI 10.1007/978-3-319-11436-1\_6

6

1

2

З

Λ

Center for Public Policy Studies and UNESCO Institutional Research and Higher Education Policy, University of Poznan, Poznan, Poland e-mail: kwiekm@amu.edu.pl

<sup>©</sup> Springer International Publishing Switzerland 2015

H.-U. Otto (ed.), Facing Trajectories from School to Work,

students with lower socioeconomic backgrounds and ever more graduates whose 26 parents had only primary education credentials. The chances of the latter to enter 27 higher education are increasing across Europe but are still very low. The intergen-28 erational patterns of transmission of education are still very rigid across all European 29 systems: the offspring of the low educated is predominantly low educated; the off-30 spring of the highly educated is predominantly highly educated. Structurally similar 31 patterns can be shown for occupations: the offspring of those in the best occupations 32 predominantly takes best occupations, and the offspring of those in the worst 33 occupations predominantly takes the worst occupations, across all European countries 34 ("best" being structurally similar and linked to both middle-class earnings and 35 lifestyles in Europe). 36

Equitable access to higher education is linked in this chapter is empirically linked to the social background of students viewed from two parallel perspectives – educational background of parents and occupational background of parents – and studied through the large-scale EU-SILC (European Union Survey on Income and Living Conditions) dataset.

It is generally assumed in both current scholarly and policy literature that major 42 higher education systems in the European Union will be further expanding in the 43 next decade (Altbach et al. 2010; King 2004; Morgan et al. 2009; Trow 2007; 44 Attewell and Newman 2010; EC 2011). Expanding systems, in general terms, tend 45 to contribute to social inclusion and equity because the expanding pie, as argued in 46 a recent cross-national study, "extends a valued good to a broader spectrum of the 47 population" (Arum et al. 2007: 29). More young people go to universities and 48 graduate from them, across all socioeconomic classes. At the same time, as Anna 49 Vignoles argued in the UK context of high fees, 50

It remains the case that young people from poorer backgrounds are very much under represented, relative to their share of the population as a whole. The need to further widen
 participation for these poorer students ... therefore remains a pressing policy issue.
 (Vignoles 2013: 112)

In the knowledge economy discourse, the expansion of higher education systems is key and high enrolment rates in the EU have been viewed as a major policy goal by the European Commission throughout the last decade, at least since the Lisbon Strategy was launched in 2000, followed by the Europe 2020 strategy launched in 2010. The European Commission's recent Communication (September 2011) states again that attainment levels in higher education in Europe

The empirical data from both the EU-27 and the OECD area demonstrate that indeed educational expansion has been in full swing across the whole developed world in the last two decades (and that educational contraction in the next decade is a serious policy issue for only several countries: most notably, Poland in the European Union and Korea and Japan in Asia. The three countries are exceptions to the general rule in which further educational expansion is expected, though,

are still largely insufficient to meet the projected growth in knowledge-intensive jobs,
 reinforce Europe's capacity to benefit from globalisation, and sustain the European social
 model. (EC 2011: 3)

as discussed further in Kwiek (2013a, b)). The expansion has several new dimensions 70 which may include, to a degree depending on a country, nontraditional routes to 71 higher education, nontraditional age students, shorter study programs (bachelor 72 level rather than masters level), and lifelong learning opportunities. The expansion 73 in Europe thus includes both new students and returning students, and the social 74 base of higher education systems is expected to be further enlarged. 75

The starting point in research into equity in access to higher education for young 76 Europeans, from a European policy perspective, could be the London Communiqué of 77 the Bologna Process (2007) which states (reflecting current social sciences research 78 on equitable access to higher education, social stratification, and social justice) 79 that "the student body ... should reflect the diversity of our population" (London 80 Communique 2007). Similarly, the Bucharest Communiqué (2012: 2) stresses that 81

The student body entering and graduating from higher education institutions should reflect82the diversity of Europe's populations. We will step up our efforts towards underrepresented83groups to develop the social dimension of higher education, reduce inequalities and provide84adequate student support services, counselling and guidance, flexible learning paths and85alternative access routes, including recognition of prior learning.86

Cross-national comparisons of equitable access to higher education and its changing patterns over time can be shown based on the EU-SILC and, in particular, based on its 2005 module on "The Intergenerational Transmission of Poverty."

Equity in access to higher education, or, in other words, more open intergenera-90 tional social mobility through higher education, is positively correlated with human 91 capital development (as well as the development of human capabilities) and with the 92 economic competitiveness of nations (Kwiek 2012b). As is well known from 93 comparative studies conducted by both the World Bank and the OECD, both the 94 long-term social and long-term financial costs of educational failure are high: those 95 without skills, to fully participate socially and economically in the life of their 96 communities, generate higher costs in the areas of healthcare, income support, child 97 welfare, and security. Equitable access to higher education enhances social cohesion 98 and trust and increases democratic participation (and all those dimensions are 99 systematically measured by the OECD through their indicators). There is a positive 100 correlation between the highest levels of education attained and democratic 101 participation, voting patterns, health, and other indicators of well-being. This is 102 what human capital approach stresses. 103

But the same positive correlations are shown, in a different social science 104 vocabulary and based on different founding principles, in the capabilities approach. 105 The capabilities approach – as an "alternative perspective" (Schneider and Otto: 106 2009: 8; see Walker 2006: 144) and a "fundamental alternative to neoliberalism" 107 (Otto and Ziegler 2010: 232) – rightly stresses that education is "far more than 108 human capital," "expands capabilities and functionings," "enlarges valuable 109 choices," "influences democratic social change by forming critical voices," "involves 110 obligations to others," "requires pedagogical process freedom," and "fosters agency 111 and well-being" (Walker 2010a: 159-167; see Otto and Ziegler 2010; Nussbaum 112 2010, 2011; Walker and Unterhalter 2007). What Melanie Walker terms fundamental 113

elements of a "just education" are far more resistant to be measured than the 114 traditional OECD indicators. The difference between the human capital approach 115 and the capabilities approach in their account of education is clear: "if education 116 makes someone a better producer able to contribute more to national income then 117 education is deemed successful. In the capability approach a human capital basis for 118 education is useful but limited" (Walker 2010a: 159). Amartya Sen in Development 119 as Freedom makes a clear link between capabilities and freedom: "a person's 120 capability refers to the alternative combinations of functionings that are feasible for 121 her to achieve. Capability is thus a kind of freedom: the substantive freedom to 122 achieve alternative functioning combinations" (Sen 1999: 75). The notion of capability 123 is central for Sen because someone's "actual functionings do not, in themselves, 124 tell us very much about how well off she is. ... The capability approach captures 125 differences by looking behind the actual functionings at the opportunities or 126 freedom people have to function" (Brighouse and Unterhalter 2010: 199-200). Or as 127 Hans-Uwe Otto (2009: 48) put it succinctly, 128

instead of looking at the means, the capabilities approach focuses on what individuals are
 capable of doing. ... The capabilities approach distinguishes between the individual's
 dispositions and the external conditions that help these dispositions to manifest in reality.

Functionings refer to whether individuals actually do or do not do something specific. In contrast, the capabilities perspective "addresses the objective set of possibilities of realizing different combinations of specific qualities of functionings" (Otto 2009: 49). In the capability approach, there is a rich understanding of agency: "each person is a dignified and responsible human being who shapes her or his own life in the light of goals that matter" (Walker 2010a: 167).

A particular strength in the capabilities approach, as Elaine Unterhalter and Melanie Walker (2007: 251) argue, is that

while broadly oriented to justice, through its emphasis on capability (potential to function)
it does not prescribe one version of good life but allows for plurality in choosing lives we have
reason to value. The approach emphasizes the importance of capability over functioning –
not a single idea of human flourishing, but a range of possibilities and a concern with
facilitating valuable choices. Above all, the capability approach offers a freedoms-focused
and equality-oriented approach to practicing and evaluating education and social justice in
all education sectors and in diverse social contexts.

The capability approach, as opposed to resourcist approaches, looks at a relationship between the resources people have and what they can do with them. Consequently, a person's capability refers to the alternative combinations of functionings that are feasible for the person to achieve (Unterhalter and Brighouse 2007: 74). As they emphasize, defending the capability approach against Thomas Pogge's objections,

One of the apparent advantages of the capability approach over its rivals is its sensitivity to
 inequalities of natural endowments. The value of resources is usually defined without
 regard to what their holder can do with them; but the capability approach always looks at
 how well an individual can convert her bundle of resources into functionings. (Unterhalter and
 Brighouse 2007: 75)

One important remark has to be made, though. The human capital approach has 158 been providing ideas, standard vocabulary, and related empirical data through 159 large-scale datasets about higher education for more than four decades. The capability 160 approach, in contrast, has been more systematically applied to higher education 161 relatively recently (see especially Walker 2006, 2010a, b; Flores-Crespo 2007; 162 Unterhalter 2010, 2013; Brighouse 2010; Boni and Walker 2013). Although Amartya 163 Sen was never focused on universities, Martha C. Nussbaum was, with her recent 164 Not For Profit: Why Democracy Needs the Humanities (2010) in the forefront. 165 Consequently, the capabilities approach could potentially provide new interesting 166 intellectual tools to deal with old higher education concerns, including both equity 167 and social mobility. As Walker concludes in her book on what she terms "higher 168 education pedagogies," 169

the capability approach addresses both processes and outcomes of learning and pedagogy.170It robustly challenges the narrowness of human capital theory in which human lives are171viewed as the means to economic gains. ... Above all, it points to a problem and suggests a172practical approach. It requires not only that we talk about and theorize change but that we173are able to point to and *do* change through the focus on beings and doings in and through174higher education. (Walker 2006: 144, emphasis in original)175

Although at the moment the capabilities approach does not seem to contribute 176 significantly to mainstream higher education research, and the community of 177 capability approach researchers in higher education is small and limited to a few 178 countries, its future potential should not be disregarded. So far, the number of both 179 books and papers linking, sometimes indirectly, higher education and capabilities 180 approach is very small: by the end of 2013, their total number available in English 181 does not seem to exceed 50, and they come from mostly the same scholars. But 182 higher education research as a field of studies has always been open to theoretical 183 and methodological influences of new approaches. The future will show how this 184 approach can contribute to the field and whether a human development and capa-185 bilities approach perspective are indeed powerful enough to inform "policies and 186 practices" of higher education (Boni and Walker 2013: 7). As Alejandra Boni and 187 Melanie Walker stress, "human development values, capabilities, agency, all are key 188 concepts to re-imagine a different vision of the university, beyond the goal to prepare 189 people as part of a workforce" (Boni and Walker 2013: 5). 190

The influence of capabilities approach on national politics and welfare policies, 191 in contrast to its influence on research into higher education, can already be substan-192 tial, becoming in some countries (e.g., Germany) a part of the "official political 193 agenda" (Otto and Ziegler 2010: 232). It might be possible that the capabilities 194 approach is useful for changing social practices, not only or not exclusively for 195 theorizing about social practices. Such a possibility is clearly suggested by Walker 196 (2010a: 168) when she argues that "capability formation in and through education 197 would widen possibilities and struggle against inequality. It would have an orientation 198 to global justice," especially if Karl Polanyi's "pendulum effect" (swinging back and 199 forth between the state and the market) is at work in European societies, as suggested 200 elsewhere (Walker and Boni 2013: 22-24). Elaine Unterhalter's (2010: 95-108) 201

three types of pedagogies need to be distinguished: "pedagogies of consequence" (linked to human capital approach and an instrumental view of higher education), "pedagogies of construction" (higher education asserting and practicing the importance of moral equality and justice as supreme values), and "pedagogies of connection" (concerned with equality). An instrumental view of higher education no longer suffices in reimagining an institution of the university under globalization pressures.

Interestingly, Elaine Unterhalter and Vincent Carpentier (2010: 3-9) refer to 209 inequalities in and through higher education not as a "dilemma" but as a "tetralemma" 210 that might guide what is to be done in four rather than in two different directions. 211 The tetralemmas of higher education, or the four different elements pulling higher 212 education apart, are the following: economic growth, equity, democracy, and 213 sustainability. And the question is: how can we hold together aspirations for all 214 of them at the same time? Each of them is pulling higher education in different 215 directions so that resolving one dimension means "compromising or abandoning at 216 least one other" (Walker and Boni 2013: 16). Equality (and inequality) is at the very 217 center of the tetralemma and inequality may produce instability which undermines 218 democracy. Without suitably educated citizens, no democracy can remain stable 219 (Nussbaum 2010: 10). Equitable access to higher education and social mobility 220 through higher education are a fundamental part of the tetralemma. As they argue, 221 "higher education is both potential source and solution to inequalities which 222 confront us" (Unterhalter and Carpentier 2010: 16). 223

Traditionally, education, and in knowledge economies especially higher education, is the main channel of upward social intergenerational mobility. It enables individuals to cross class boundaries between generations. Education, and higher education in particular, enables intergenerational social mobility to a higher degree in more equitable societies and to a lower degree in less equitable societies.

An equitable or mobile society seems to be a relational (or positional) notion: 229 some societies are clearly more equitable or mobile than other societies, and some 230 clusters of countries seem to be more equitable or mobile than other clusters of 231 countries. Intergenerational social mobility reflects the equality of opportunities. 232 Younger generations "inherit" education and "inherit" occupations from their parents 233 to a higher degree in less mobile societies. Young Europeans' educational futures and 234 occupational futures look different in more and in less mobile European societies. 235 As defined by the OECD: 236

Intergenerational social mobility refers to the relationship between the socioeconomic status of parents and the status their children will attain as adults. Put differently, mobility reflects the extent to which individuals move up (or down) the social ladder compared with their parents. A society can be deemed more or less mobile depending on whether the link between parents' and children's social status as adults is looser or tighter. In a relatively immobile society an individual's wage, education or occupation tends to be strongly related to those of his/her parents. (OECD 2010: 4)

In the majority of higher education systems in Europe, higher educational credentials lead to "better jobs" and better life chances (for "good jobs" in the USA, see Holzer et al. (2011)). Nevertheless, from a theoretical perspective of "positional goods," developed for the first time in the 1970s by a British economist, Fred Hirsch, 247 there is always "social congestion" in every society: the number of good jobs 248 (for instance, prestigious white-collar jobs leading to high incomes or to stable 249 middle-class lifestyles) in a labor market system is always limited, and top jobs in a 250 given system will always be limited, no matter how well educated the workforce is 251 (see Kwiek 2006, 2010). The division of economy in particular EU member states into 252 major sectors (e.g., manufacturing, services, agriculture in OECD categories, or 253 into major nine occupations, and "professionals" vs. all other types of occupations 254 in a United Nations terminology in particular) and its changes over time should be 255 an important point of references in all "new skills for new jobs" theoretical exercises 256 presented by the European Commission linking the growth in jobs requiring high 257 skills with the growth in students numbers. In general, European societies, interested 258 in skills and jobs, should bear in mind that higher education is a powerfully positional 259 good: it may define the position of its possessors only relative to other in the labor 260 market. Educational expansion leads to an increased number of highly qualified 261 people who find it increasingly difficult to have stable, middle-class jobs, across the 262 whole developed world. 263

Harry Brighouse and Elaine Unterhalter (2007: 78-83, 2010: 207-212) presented 264 a model to measure justice in education, grounded in both Rawl's social primary 265 good theory and Amartya Sen's and Martha Nussbaum's capabilities approach and 266 treating both approaches as complementary. In their model, the three overlapping 267 fields that intersect with freedom (agency freedom and well-being freedom) relate 268 to three different aspects of the value of education. These are the instrumental value 269 of education, the intrinsic value of education, and the positional value of education. 270 The instrumental value helps to secure work at a certain level and political and 271 social participation in certain forms; the intrinsic value refers to the benefits the 272 person gets from education which are not merely instrumental for some other 273 benefit they may be able to use to get it. And the positional value of education, most 274 important to us here, is 275

insofar as its benefits for the educated person depend on how successful she has been relative276to others. For example, for any individual child aiming to enter a prestigious university, for277which there is a fixed number of places, what matters to her is not at all how successful she278has been in school, but only how successful she has been *relative to her competitors*.279(Brighouse and Unterhalter 2010: 210, emphasis mine)280

In a very similar vein, educational expansion in labor markets already saturated 281 with higher education graduates has certainly different consequences than educa-282 tional expansion in labor markets which are still far away from a state of saturation 283 (the best example being monetary rewards from higher education in such clusters of 284 countries as Central Europe on the one hand and the Nordic countries on the other). 285 On average, CEE countries still have considerably less educated labor force, 286 so – one can assume – monetary rewards from higher education, or wage premium 287 for higher education, are higher. Nonmonetary rewards include, for instance, low 288 levels of unemployment for higher education graduates, combined with relatively 289 faster transitions from unemployment to employment, as analyses of the EU-SILC 290 data demonstrate. 291

Also, any research, including present research based on EU-SILC microdata, 292 should be cognizant of the potential limit to individual benefits from higher education 293 attainment level as an individual shield against unemployment or as an individual 294 life strategy inevitably leading to traditional middle-class lifestyles. From the 295 theoretical perspective in which higher education credentials are "positional goods," 296 while collective, or public, benefits from educational expansion are increasing, 297 individual, or private, benefits from educational expansion, as viewed, e.g., through 298 the proxy of wage premium for higher education, do not have to be increasing. 299 In some European systems, as reported by the OECD, the wage premium has been 300 consistently high, and increasing, on a global scale, in the last decade. These are 301 postcommunist Central European economies, such as Poland, the Czech Republic, 302 Slovakia, and Hungary (Kwiek 2001). In other systems, where educational 303 expansion has started (much) earlier, the wage premium for higher education is 304 much lower and either stable or decreasing (for instance, in the Nordic countries). 305 There are several interrelated explanations but one of them is the "positional goods" 306 argument according to which the advantage of higher education credentials in 307 the labor market is relative or positional: if collective efforts of ever-increasing 308 numbers of young people are focused in the same direction, individual gains from 309 individually rational life strategies do not lead to expected results (Brown et al. 310 2011; Hirsch 1976). 311

The EU-SILC dataset offers the possibility to study inequality of educational 312 outcomes and relevant coefficients: contrasting those young Europeans whose 313 father (and/or mother) had tertiary education credentials with those whose father 314 (and/or mother) had compulsory education credentials or less. In more equitable 315 national educational regimes, not only educational trajectories of young Europeans 316 with different social backgrounds will be more similar - but also their labor market 317 trajectories will be more similar. By contrast, in less equitable national educational 318 regimes, both educational and labor market trajectories of young Europeans with 319 different social backgrounds will be markedly different. In short, the chances of 320 young Europeans from lower socioeconomic strata to attain higher education will 321 be closer to the chances of young Europeans from higher socioeconomic strata in 322 more equitable systems and in more equitable societies. Alternatively, higher 323 education will be less "inherited," that is, less dependent on parents' (father's or 324 mother's or both) education in more equitable societies. 325

Two questions need to be separated. One question is about labor market trajectories 326 of young Europeans (aged 15–34, for the purposes of the present research). Another 327 question is how labor market trajectories are determined by social circumstances 328 and family background in particular. In relatively more equitable (just, fair, open, 329 mobile, etc.) systems, the role of social background is less important than in relatively 330 less equitable (just, fair, open, mobile, etc.) systems. (There are long-standing 331 discussions in social science research what social "justice" and "fairness" in access 332 to higher education mean and what "openness" of higher education which leads to 333 higher "intergenerational social mobility" means.) Consequently, the EU-SILC 334 data allow to study both the "inheritance" of education and the "inheritance" of 335 occupations: occupations will be less "inherited," that is, less dependent on parents' 336

(father's or mother's or both) occupations in more equitable societies. Cross-country differences can be shown, and especially two contrasting clusters of countries, with very low as opposed to very high social mobility, can be identified.

Different lifetime additional earnings depending on the highest level of education 340 attained by individuals, consistently reported for the OECD area, refer not only to 341 higher education degree taken (usually from the arts and humanities at the bottom 342 end and medicine at the top end of the spectrum) but also to open or closed access 343 to occupations and professions based on social and economic strata of origin 344 (including different labor market aspirations and values and beliefs originating also 345 from social environment in the pre-higher education periods of study). Consequently, 346 while lifetime additional earnings refer to levels of education attained, the EU-SILC 347 data provide clues about intergenerational mobility both in terms of educational 348 levels of respondents and their parents and in terms of occupations of respondents 349 and their parents. 350

The theoretical underpinning of the present research is the idea that higher edu-351 cation credentials, in the times of massification, should be increasingly viewed as 352 (Fred Hirsch's) "positional goods": they increase the chances of better labor market 353 trajectories only to a certain point of saturation behind which they become a must, 354 a starting point in competition between individuals holding it, rather than a clear 355 competitive advantage. As "social congestion" increases, that is, the number of 356 higher education graduates increases, the role of credentials as signaling mechanisms 357 (about abilities of graduates) is changing: as in Hirsch's memorable metaphor, 358 standing on tiptoes in a stadium does not help to get a better view if all others around 359 also stand on tiptoes. At the same time, not having higher education credentials, like 360 not standing on tiptoes, is a serious drawback in the labor market. So credentials 361 are sought by an ever-increasing share of young Europeans, even though their 362 economic value may be, in many systems and increasingly so, questioned. Stable or 363 increasing participation rates in higher education mean a bigger share of populations 364 with higher education credentials seeking traditional white-collar occupations. 365 What especially matters is the question whether the share of students from under-366 represented strata in the higher education population is increasing (as we know that 367 their numbers are increasing). 368

As OECD data for the last decade show, the overall higher education attainment 369 for the population aged 25-64 has been increasing throughout the OECD area in the 370 1997-2009 period, with the OECD average annual growth rate of 3.7 % and with 371 the EU-21 average annual growth rate of 3.9 %. Average annual growth in the 372 proportion of those with a tertiary education has exceeded 5 % in four European 373 countries: Ireland, Luxembourg, Poland, and Portugal. The proportion of the popu-374 lation that had not attained upper secondary education decreased by 5 % or more 375 per year in five European countries: Hungary, Luxembourg, the Netherlands, 376 Poland, and the Slovak Republic. Most of the changes in educational attainment 377 have occurred at the low and high ends of the skills distribution, largely because 378 older workers with low levels of education are moving out of the labor force and 379 as a result of the expansion of higher education in many countries in recent years. 380 As OECD's Education at a Glance explains, this expansion has generally been met by 381

an even more rapid shift in the demand for skills in most OECD countries: the demand
side can be explored in labor market indicators on employment and unemployment,
earnings, incentives to invest in education, labor costs and net income, and transition
from school to work, all covered in this OECD volume (OECD 2011).

What works on an individual basis, and especially before the level of massifi-386 cation or universalization of higher education is reached, does not seem to work 387 from a larger social perspective: individual efforts may be largely lost if all young 388 people undertake the same efforts of getting higher education credentials, as the 389 efforts finally may not lead to increasing individual life chances. The pool of 390 "good jobs" seems to be restricted in Europe, as elsewhere, and the idea that higher 391 education is leading to middle-class lifestyles and standards of living for everyone 392 may be increasingly misleading, as Brown et al. (2011) demonstrate (for Poland, 393 see also Kwiek (2012b)). 394

Both in the USA and in Europe, the standard of living of young people is threat-395 ened to be lower than the standard of living of their parents, especially for those 396 from the middle classes, as Robert Frank argues in Falling Behind: How Rising 397 Inequality Is Harming the Middle Classes (2007). The "positional goods" perspective 398 (represented by Fred Hirsch and Robert Frank among labor economists, and Phillip 399 Brown and Hugh Lauder among sociologists of education; for the first time 400 applied to education in Simon Marginson's landmark study from 1997, Markets in 401 Education) Marginson (1997) needs to be born in mind in any cross-country research 402 based on the EU-SILC data. 403

The initial hypothesis of the present research was that in those European countries where higher education has been more expanded, there is more equality in achieving higher education by social background – but there are also accompanying diminishing occupational and wage returns from higher education. The OECD data do not suffice to research the interrelations between the two and it is useful to strengthen this line of research by the empirical evidence derived from the EU-SILC. The EU-SILC dataset thus provides new opportunities for Europe-wide mapping of inequality.

# 411 6.2 Intergenerational Social Mobility: A European Union 412 Survey on Income and Living Conditions (EU-SILC)

The European Union Survey on Income and Living Conditions (EU-SILC) collects 413 microdata on income, poverty, and social exclusion at the level of households and 414 collects information about individuals' labor market statuses and their health. 415 The database includes both cross-sectional data and longitudinal data. For most 416 countries of the pool of 26, the most recent data available come from 2007 to 2008. 417 The 2005 module on "The Intergenerational Transmission of Poverty" of the 418 EU-SILC provides data for attributes of respondents' parents during their childhood 419 (age 14-16). The module reports the educational attainment level and the occupa-420 tional status of each respondents' father and mother. As reported by the OECD, 421

in almost all European OECD countries, there is "a statistically significant probability 422 premium of achieving tertiary education associated with coming from a higher-423 educated family, while there is a probability penalty associated with growing up in 424 a lower-educated family" (Causa and Johansson 2009b: 18). We shall follow these 425 intuitions, well known from comparative social stratification studies. Fairness in 426 access to higher education in Poland, a country taken as an example, is linked in this 427 section to intergenerational transmission of educational attainment levels and 428 occupational statuses of parents from a European comparative perspective. If Polish 429 society is less mobile than other European societies, then the need for more equitable 430 access to higher education in Poland is greater than elsewhere in Europe. While 431 absolute numbers can speak by themselves, I assume here that the numbers tell us 432 more in a European comparative context. 433

In technical terms, I conduct a brief assessment of the relative risk ratio of 434 "inheriting" levels of educational attainment and "inheriting" occupations in transitions 435 from one generation to another generation in Poland from a cross-national perspective. 436 Relative risk ratios show how many times the occurrence of a success is more 437 probable in an individual with a given attribute than in an individual without a given 438 attribute. In the case studied here, "success" is the respondent's higher education and 439 the attribute is parents' higher education. Relative risk ratios (presented in Fig. 6.1) 440 show how an attribute of one's parents makes it more likely that the respondent 441 (offspring) will show the same attribute (see Causa and Johansson 2009a, b). 442



**Fig. 6.1** Relative risk ratio for persons with *higher* education in relation to their father's *higher* education (Source: own study based on EU-SILC 2005 module on "The intergenerational transmission of poverty.") (The cross-country results are presented for the 35–44-year-old cohort. The module is based on data from personal interviews only. Variables analyzed were PM040: "Highest ISCED level of education by father," PM060: "Main activity status of father," and PM070: "Main occupation of father")

Similarly, in OECD analyses, the risk ratio of achieving tertiary education is defined
as "the ratio of two conditional probabilities. It measures the ratio between the
probability of an offspring to achieve tertiary education given that her/his father had
achieved tertiary education and the probability of an offspring to achieve tertiary
education given that her/his father had achieved below-upper secondary education.
Father's educational achievement is a proxy for parental background or wages"
(Causa and Johansson 2009b: 51).

450 Relative risk ratios were estimated using logistic regression analysis for the 451 weighted data. A binomial model was used. Multinomial-dependent variables were 452 dichotomized and separate models were constructed. The choice of independent 453 variables was conducted using a backstep method and the Wald criterion.

Generally, there are four educational intergenerational social transitions and two 454 occupational intergenerational transitions of interest to us here. The probabilities of 455 educational transitions are calculated for the following cases: fathers with primary 456 education and respondents with primary education, fathers with tertiary education and 457 respondents with primary education, fathers with primary education and respondents 458 with tertiary education, and fathers with tertiary education and respondents with 459 tertiary education. And the probabilities of occupational transitions are calculated 460 for two cases only: respondents with an elementary occupation, in relation to their 461 fathers' occupation (ISCO groups 1 through 9), and respondents with an ISCO 462 group 1 occupation ((1) legislators, senior professionals, (2) professionals, and 463 (3) technicians and associate professionals), in relation to their fathers' occupations. 464

Among European countries, Poland has one of the highest relative risk ratios 465 (10.6) for persons with higher education to have their parents with higher education, 466 meaning that it is highly unlikely for children to have higher education if their parents 467 did not also achieve the same level of education. In Poland, for a person whose 468 parents had higher education, the probability of attaining higher education is 10.6 469 times higher than for a person whose parents had education lower than higher 470 education. There are only four European systems that markedly stand out in variation 471 (Poland, Portugal, Italy, and Ireland, plus two tiny systems of Luxembourg and 472 Cyprus): in all of them, the probability that an individual who has attained higher 473 education has parents who have attained higher education is about ten times higher 474 than a person whose parents did not. While higher education is being "inherited" 475 all over Europe, in Poland, the probability is on average almost two times higher than 476 in other European countries (the average for 26 countries is 6.06, and the average 477 for 8 postcommunist countries is 5.97). The details are given below in Fig. 6.1. 478

On the basis of the EU-SILC data, one can follow the transmission of *education* and the transmission of *occupations* across generations and see to what extent parental educational and occupational backgrounds are reflected in their offspring's educational and occupational backgrounds. Educational status and occupational status are strong attributes carried across generations (Archer et al. 2003; Breen 2004).

Figure 6.2 below shows the probability of respondents achieving higher education given that their parents had achieved a primary level of education. In more mobile societies, the probability will be higher; in societies in which intergenerational mobility is lower, the probability will be lower. As can be seen, there is a major



**Fig. 6.2** Transition from parents' *primary* education to respondent's *higher* education (Source: own study based on EU-SILC 2005 module on "The intergenerational transmission of poverty" (0 % for CZ, DK, and NO results from a too low number of respondents in these countries))

divide between a cluster of countries in which there is low probability of upward 488 mobility for this subpopulation – in the range of 4-6% – and a cluster of countries 489 in which the probability of upward mobility for the same subpopulation is three to 490 four times higher and the probability of a "generational leap" in education between 491 generations for those born in low-educated families is three to four times higher, in 492 the range of 17-23 %. The "low probability" cluster includes Poland and several 493 other former communist countries, as well as Italy. The "high probability" cluster 494 includes the Nordic countries, Belgium, Germany, Estonia, Spain, and the UK 495 (no distinction in the dataset can be made between various *types* of higher education 496 so that the question of "access to what" from an intergenerational perspective can-497 not be answered on the basis of the EU-SILC). Other countries are in the middle. 498 The probability of upward intergenerational mobility for young people from 499 low-educated families through higher education, from a comparative perspective, 500 is clearly very low in Poland. The percentage of people with higher education 501 whose parents had primary education is only 6 %; the remaining 94 % of people 502 whose parents had primary education never attained higher education. 503

One can also look at the rigidity of educational backgrounds across generations or the transmission of the same level of education (from primary to primary, from higher to higher) across generations. What is particularly relevant here is the inheritance of higher education across generations. Figure 6.3 below shows that in all 26 European countries studied (except Slovenia), the probability of having attained higher education if one's parents have also attained higher education is more than 50 %. The lowest range (50–60 %) dominates in several postcommunist countries, 510





**Fig. 6.3** Transition from parents' *higher* education to respondent's *higher* education (Source: own study based on EU-SILC 2005 module on "The intergenerational transmission of poverty")

as well as in Denmark, Austria, Norway, Germany, and Sweden. The highest range (70–79 %) is shown only for Spain, Ireland, and Belgium, as well as two small systems of Luxembourg and Cyprus. Poland (67 %) is in the upper-middle range of 65–70 %, and ninth from the top: 67 % of people whose parents had higher education managed to attain higher education. The remaining 33 % attained the level of education which was lower than higher education.

Analyses of the transmission of *levels of education* across generations can also
be supplemented with analyses of the transmission of *occupation* across generations,
with similar results for Poland. This article uses ISCO-88 (International Standard
Classification of Occupations) basic occupational groups (nine major groups) and,
following recent EUROSTUDENT IV study (2011), applies the following hierarchy
of workers:

- Highly skilled white-collar ((1) legislators, senior professionals, (2) professionals,
   and (3) technicians and associate professionals)
- Low-skilled white-collar ((4) clerks, (5) service workers and shop and market
   sales workers)
- Highly skilled blue-collar ((6) skilled agriculture and fishery workers, (7) craft
   and related trades workers)
- Low-skilled blue-collar ((8) plant and machine operators and assemblers,
   (9) elementary occupations)

Analyses performed with reference to ISCO-88 group 1 occupations ("legislators and senior professionals," translated in Fig. 6.4 into "highly skilled white-collar")



**Fig. 6.4** Transition from parents' *highly skilled white-collar occupation* to respondent's *highly skilled white-collar occupation*. (The analysis presented in Figure 12 aggregated the nine ISCO-88 basic occupational groups, following recent EUROSTUDENT IV study (Eurostudent 2011: 55), into the following four groups of workers: "highly skilled white-collar" (*1* legislators, senior professionals, 2 professionals, and 3 technicians and associate professionals), "low-skilled white-collar" (*4* clerks, 5 service workers and shop and market sales workers), "highly skilled blue-collar" (*6* skilled agriculture and fishery workers, 7 craft and related trades workers), and "low-skilled blue-collar" (*8* plant and machine operators and assemblers, *9* elementary occupations)) (Source: own study based on EU-SILC 2005 module on "The intergenerational transmission of poverty")

in relation to parents' occupation show that while overall in Europe the "inheritance" 533 of highly skilled white-collar occupations is high, and it is generally in the 50–70 % 534 range, in Poland it is very high and reaches 67 %. 535

In the case studied here, the success is respondent's group 1 occupation and the 536 attribute is parents' group 1 occupation. Relative risk ratios show how an attribute 537 of one's parents makes it more likely that the respondent will show the same attri-538 bute. Table 6.1 in the Data Appendix shows the relative risk ratio for persons from 539 ISCO-88 highest occupational group ("legislators and senior professionals" or 540 LE, shadowed) in relation to their fathers' occupation. For instance, for Poland, the 541 probability that a person whose father was a legislator or senior professional will 542 have the same category of occupation is 3.32 times higher than in the case of a 543 person whose father had a different occupation; the probability that a person whose 544 father had an "elementary" (EL) occupation will have a legislator or senior profes-545 sional occupation is 1.49 times lower than in the case of a person whose father had 546 occupation other than EL. Table 6.2 in the Data Appendix shows the relative risk 547 ratio for persons from ISCO-88 lowest occupational group ("elementary" or EL, 548 shadowed) in relation to their fathers' occupation. For Poland, the probability that a 549 person whose father had an elementary occupation to have the same category of 550 occupation is 2.11 times higher than in the case of a person whose father had a 551 different occupation. Figure 6.4 shows that, for Poland, 67 % of persons whose 552 fathers had highly skilled white-collar occupations also have the same occupation. 553 The remaining 33 % of those persons have different occupation. In Poland, the level of "inheriting" higher education and highly skilled white-collar occupations is high, and successful transitions across generations from primary education to higher education and from low-skilled blue-collar occupations to highly skilled white-collar occupations are rare.

Thus, upward educational social mobility in Poland (from a longer perspective 559 and despite the 1990–2005 expansion period in higher education) is still limited, 560 and the level of inheritance of both educational status and occupational status across 561 generations is quite high, compared with other European countries. The changes in 562 mobility among social strata are long term, and the recent expansion period in 563 higher education is still short enough to change the basic social structure in Poland 564 (on the role of privatization of higher education in the expansion, see Bialecki and 565 Dabrowa-Szefler (2009), Kwiek (2014)). Both the highest educational attainment 566 levels and the most socially and financially rewarded occupations ("highly skilled 567 white-collar") are inherited in Poland to a stronger degree than in most European 568 countries, except for most postcommunist countries. Based on above analyses, 569 Poland seems to differ more from more socially mobile Western European systems 570 and less from most socially immobile postcommunist systems in its educational 571 social mobility than traditionally assumed in the research literature (e.g., Domański 572 2000; Mach 2004; Baranowska 2011). Polish society in general is less mobile 573 compared with most Western European systems because the links between parents' 574 and children's social status as adults (in both educational and occupational terms) 575 are tighter. While the expansion period substantially increased equitable access 576 to higher education in Poland, upward social mobility viewed from a long-term 577 perspective of change across generations is still limited. Consequently, from a 578 European comparative perspective, there is much greater need for further fair and 579 increased access to higher education than commonly assumed in educational 580 research (for a Polish higher education massification context from which the 581 above data are derived, see Kwiek (2012a, 2013b), and for a European context, 582 see Kwiek (2009a, 2013a)). 583

**Conclusions and Directions for Further Research** 584 There are at least three major directions for further research. 585 One research direction is linking higher education with labor market 586 trajectories through academic fields of study, with additional lifetime 587 earnings different for different academic degrees viewed horizontally (masters in 588 one study area vs. masters in a different area) rather than vertically (masters 589 in all areas vs. bachelors in all areas). The difference between following labor 590 market trajectories by educational levels and by fields of study within the 591 same educational level (e.g., at the bachelors and masters levels in different 592 fields of study) is significant. The second research direction is a combination 593

of insights from the EU-SILC dataset and from two large-scale European datasets about European university graduates and about European professionals, as studied through surveys in 12 European countries in the 2000s, CHEERS and REFLEX. And the third research direction is a study of lifelong learning.

Thus, the first task for future research is linking higher education with the labor market and labor market trajectories (including transitions between employment, unemployment, and inactivity) through academic fields of study. Not only the status of being employed/unemployed/inactive in the labor market is linked to the level of education (which EU-SILC data clearly show) – but the labor market status and its transitions are also substantially linked to fields of study. The national average wage premium from higher education, private internal rate of return (IRR) in higher education, and other related indicators measured over the years by OECD do not show the difference between fields of studies. So far, this dimension has not been systematically explored, mostly due to the lack of European data in a comparable format. And average additional lifetime earnings are substantially different for different degrees, as various national or global labor market studies show. While overall average additional lifetime earnings for higher education seem substantial in most countries, they are very low or nonexistent for graduates in such fields of study as arts and humanities in many systems.

Exploring labor market trajectories of young Europeans from an equity perspective may mean not only linking their labor market trajectories with educational trajectories. It may also increasingly mean linking them with fields of study taken and consequently degrees obtained and used in the labor market. The initial hypothesis is that the socioeconomic background of students and graduates may be positively correlated with fields of study taken: the SES quartiles of origin may be a determining factor for the choice of fields of study, from a continuum of those generally least demanding and least competitive (and leading to the lowest financial rewards in the labor market) to those generally most demanding and most competitive (and leading to best paid jobs).

Researching labor market consequences of studying different fields seems fundamental to linking higher education to the labor market successes and failures (changing employment status and changing occupational status over time) both in individual EU member states and in Europe as a whole. The research literature analyzing the impact of the specific field of study (and its importance for social stratification studies) on occupational prestige, job mismatches, employment status, and income has been growing (see Reimer et al. 2008). As they argue, "with increasing numbers of university graduates in the labor market, the signal value of a university degree from less-academically challenging and less selective fields like the humanities and social sciences will deteriorate" (2008: 234). This is an important additional dimension of studies

594

595

596

597

598

599

600

601

602

603

604

605

606

607

608

609

610

611

612

613

614

615

616

617

618

619

620

621

622

623

624

625

626

627

628

629

630

631

632

633

634

linking higher education to labor markets and labor market trajectories and 636 levels of educational attainment by field of study with wage premium for 637 higher education by field of study. Unfortunately, the EU-SILC dataset does 638 not allow to explore the issue – but it can be approached through the analyses 639 of the European Union Labour Force Survey (EU LFS). The EU-SILC data 640 can also be combined with the European Social Survey (ESS) 2002-2008 641 data to further explore the issue of linking educational outcomes and occupa-642 tional outcomes with social background (see Bernardi and Ballarino 2011). 643 At the same time, this is the line of research which can go hand in hand, in 644 empirical terms, with a more fundamental, theoretical issue raised recently by 645 Martha Nussbaum in her Not For Profit: Why Democracy Needs Humanities 646 (2010): that our being in the midst of a "crisis of massive proportions and 647 grave global significance" means a "worldwide crisis in education." In practical 648 terms, the humanities and the arts (as fields of study) being cut away from 649 curricula and are losing their place "in the minds and hearts of parents and 650 children" (Nussbaum 2010: 2). Any research into fields of study should refer 651 to this alarming, global phenomenon. The fate of graduates from those fields 652 in the labor market, from a European comparative perspective, might shed 653 new light on the phenomenon analyzed so far mostly in the American context 654 of liberal education gradually losing its ground. 655

The second research direction is to study labor market trajectories of young 656 Europeans based on the EU-SILC dataset in combination with other datasets 657 currently available about university graduates and professionals (and can be 658 informed by theoretical underpinning of two large-scale, European compara-659 tive research projects of the 2000s - CHEERS and REXLEX, surveys of 660 higher education graduates in Europe (CHEERS) and survey of professionals 661 in Europe (REFLEX), with large theoretical output resulting from both proj-662 ects. CHEERS studied about 40,000 questionnaires from graduates in 11 663 European countries and Japan on their socio-biographical background, 664 study paths, transitions from higher education to employment, early career, 665 links between study and employment, job satisfaction, and their retrospective 666 view on higher education (Teichler 2007 and Schomburg and Teichler 2006)). 667 REFLEX studied demands that the modern knowledge society places on 668 higher education graduates and the degree to which higher education equips 669 graduates with the competencies to meet these demands, based on 70,000 670 surveys of higher education graduates in 15 European countries and Japan (see 671 Allen and van der Velden 2011). The higher education exit point is thus as 672 important as the higher education entry point in current research, so that both 673 students and graduates already present in the labor market are explored. 674

And the third research direction is to review the determinants of inequality in workers' lifelong learning (LLL) opportunities on the basis of the EU-SILC. The probability of undertaking lifelong learning (adult learning) can be studied for each EU country, and a European comparative study can be performed directed at LLL incidence, as self-reported by survey respondents. The participation in LLL (and its intensity) is an important dimension of different labor market trajectories of young Europeans, and clusters of countries can be identified on the basis of high/average/low LLL participation - which can be explored through socioeconomic strata of origin of young Europeans. The impact of class origins on LLL participation can be explored although it is unclear whether any links can be shown and whether the equity perspective employed can lead to any statistically significant results. Such dimensions as age, sex, attainment levels, working full or part time, and type of occupation can be researched too, to explore national variations. The EU-SILC data can be combined with such data sources as IALS (the International Adult Literacy Survey), LFS (EU Labour Force Survey), the European Working Conditions Surveys, and the Continuous Vocational Training Survey, as well as OECD aggregate data (see Biagetti and Scicchitano 2009). Lifelong learning is of critical importance for the success of the Europe 2020 strategy, and its role increases with ongoing work in Europe on both National Qualifications Framework and European Qualifications Framework (EQF) which link all levels of (and all routes to) education in EU countries (see Kwiek and Maasen 2012 and Kwiek (2009b)).

Equitable access to higher education and educational and occupational intergenerational social mobility can be studied cross-nationally in Europe through the EU-SILC data, following previous highly successful global research in educational attainment and social stratification (Shavit and Blossfeld 1993; Shavit et al. 2007). Consequently, Europe is consistently becoming a "data-rich" area; a new role of social science research is to use this newly available, large-scale quantitative (and often self-produced) empirical material.

In this new "data-rich" environment, higher education research may increasingly use theoretical insights from the capabilities approach, as it has been using insights from the human capital approach for the last four decades. One of the major obstacles to develop further the capabilities approach in higher education research is the current construction of both national and European datasets, especially their underlying theoretical concepts leading to specific social research vocabulary in data-driven studies. Current datasets "measure" higher education and its multilayered dimensions according to the human 675

676

677

678

679

680

681

682

683

684

685

686

687

688

689

690

691

692

693

694

695

696

697

698

699

700

701

702

703

704

705

706

707

708

709

710

711

712

capital paradigm and therefore, it is hard *not* to refer to its major concepts. 714 always present behind measures used. And the capability approach in higher 715 education should not rely on qualitative material only, as has been mostly the 716 case so far. If the capability approach is to be applied further to higher educa-717 tion as a sector, it has to highlight not only the need to measure different 718 things but also the need to measure them differently. The whole (national and 719 international) statistical architecture of higher education is currently embedded 720 in the human capital approach. If a new approach is to be further developed 721 within higher education studies, it needs to support both new vocabulary and 722 new statistics, based on new, and most often merely complementary, theoretical 723 concepts. 724

The paper presents strong support for the "education for all" agenda in 725 Europe: in all European countries, as our data show, access to higher educa-726 tion for young people from lower socioeconomic strata is severely restricted, 727 despite ongoing powerful processes of massification of higher education. For 728 young Europeans from poorer and low-educated backgrounds, the chances to 729 get higher education credentials and to work in highly skilled white-collar 730 occupations are very low indeed, across all European systems (and in Central 731 European systems in particular). It is a shame that in nine European countries, 732 the percentage of people with higher education whose parents had primary 733 education is below 10 %; the remaining 90 % of people whose parents had 734 primary education never attained higher education. A major recommenda-735 tion for EU strategies is to introduce more effective mechanisms to enable 736 new routes of access to, preferably more differentiated, higher education. 737 More diversification in higher education is needed so that a higher proportion 738 of young people from lower socioeconomic strata will be able to move up the 739 education and career ladders in the future.<sup>1</sup> 740

<sup>&</sup>lt;sup>1</sup>The author gratefully acknowledges the support of the National Research Council (NCN) through its MAESTRO grant DEC-2011/02/A/HS6/00183 (2012–2017). The work on the statistics in this paper would not be possible without the invaluable support of Dr. Wojciech Roszka.

### Annex

t1.1 Table 6.1 Relative risk ratio for persons from ISCO-88 *highest occupational group* ("legislators and senior professionals") in relation to their father's occupation (*shadowed*: "legislators and senior professionals")

Country	Father's occupation								
	1. LE	2. PR	3. TE	4. CL	5. SE	6. AG	7. CR	8. PL	9. EL
AT	3.36	2.33	1.24	1.15	-1.18	-2.08	-1.37	-1.72	-1.43
BE	2.59	1.29	-1.41	-1.14	-1.67	-1.00	-1.37	-1.30	-1.89
CY	4.21	2.58	1.47	1.18	1.33	-1.75	-1.11	-1.14	-1.61
CZ	2.30	2.41	1.39	1.60	-1.41	-1.12	-1.52	-1.45	-1.23
DE	1.64	1.23	1.15	1.10	-1.18	-1.10	-1.16	-1.32	-2.00
DK	1.98	-1.15	1.20	1.02	1.16	-1.45	-1.19	-1.85	-1.04
EE	1.60	1.41	1.72	-1.27	-6.25	-2.44	-1.18	-1.09	-1.54
ES	4.12	1.13	1.21	-1.00	-1.32	-1.22	-1.47	-1.35	-1.52
FI	2.12	1.35	1.06	-1.01	1.09	-1.33	-1.05	-1.28	-1.79
FR	2.09	1.69	1.49	-1.30	-1.28	-1.89	-1.03	-1.64	-1.52
GR	2.38	-1.08	-1.15	-1.32	-1.19	-1.22	-1.16	-1.08	-1.22
HU	2.38	2.14	1.68	1.45	1.44	-1.75	-1.18	-1.27	-2.22
IE	1.61	1.04	2.17	-1.09	-1.08	-5.26	-1.37	-1.23	-2.04
IS	1.42	1.08	1.19	1.14	-1.64	-1.59	-1.00	-1.05	1.24
IT	2.83	-1.37	-1.10	-1.59	-1.06	-1.18	-1.28	-1.27	-1.15
LT	3.00	1.93	1.61	1.52	1.13	-1.85	-1.11	-1.45	-1.52
LU	3.26	1.79	-1.12	-1.67	1.04	-1.14	-1.69	-1.54	1.03
LV	1.24	2.23	1.22	1.06	1.83	1.04	-1.11	-1.23	-1.43
NL	1.56	-1.19	-1.09	1.03	-1.01	-1.00	-1.56	-1.23	-1.00
NO	1.77	-1.23	-1.03	1.14	-1.01	-1.54	-1.06	-1.15	1.02
PL	3.32	2.10	1.30	1.34	1.07	-1.67	-1.00	-1.25	-1.49
PT	2.58	1.58	1.02	-1.52	1.31	-1.28	-1.20	-1.43	-1.00
SE	3.44	1.07	-1.64	1.77	-2.13	1.70	-2.22	-1.69	1.34
SI	2.36	2.03	2.27	-1.08	1.67	-1.69	-1.09	-1.85	-2.38
SK	1.86	1.62	1.28	1.31	-2.22	-1.67	-1.18	-1.27	-1.02
UK	1.71	-1.14	1.25	1.31	1.07	-1.75	-1.56	-1.23	-1.59

t1.4 Source: own study based on the EU-SILC 2005 module on "The intergenerational transmission of

t1.5 poverty." ISCO-88 occupational groups (International Standard of Classification of Occupations,

t1.6 1988, used in EU-SILC) are the following: (1) LE legislators, senior professionals, (2) PR profes-

t1.7 sionals, (3) TE technicians and associate professionals, (4) CL clerks, (5) SE service workers and

t1.8 shop and market sales workers, (6) AG skilled agriculture and fishery workers, (7) CR craft and

t1.9 related trades workers, (8) PL plant and machine operators and assemblers, (9) EL elementary

t1.10 occupations

Country	Father's occupation									
	1. LE	2. PR	3. TE	4. CL	5. SE	6. AG	7. CR	8. PL	9. EL	
AT	-1.23	-2.94	-1.96	-2.63	-1.12	1.22	-1.43	-1.09	2.45	
BE	-2.08	-3.33	-2.63	-2.22	-1.43	-1.37	1.16	1.45	3.10	
CY	-2.56	-6.25	-4.76	-3.85	-1.79	1.67	-1.19	-1.11	1.77	
CZ	-1.89	-14.29	-3.03	-2.86	-1.30	1.51	1.01	1.20	3.06	
DE	-1.47	-2.27	-1.30	-1.69	-1.23	1.60	1.07	1.56	2.03	
DK	-1.61	-4.17	-1.54	-1.45	-1.35	1.25	-1.05	1.77	1.83	
EE	-1.64	-2.86	1.08	-1.25	-1.39	1.27	-1.00	1.02	1.95	
ES	-2.33	-4.55	-2.22	-2.50	-1.61	1.20	-1.33	-1.47	2.47	
FI	-2.63	-1.82	-1.25	-1.69	1.16	1.21	1.15	-1.00	1.87	
FR	-1.41	-4.00	-2.08	-2.56	-1.19	1.36	1.10	1.13	2.09	
GR	-2.17	-2.63	-1.89	-1.47	1.31	1.04	-1.05	1.20	2.23	
HU	-2.94	-9.09	-4.76	-2.00	-1.19	1.76	-1.14	-1.04	2.34	
IE	-1.54	-1.85	-1.45	-2.04	-2.22	1.86	1.06	1.17	2.10	
IS	-1.32	-5.56	-1.96		1.52	1.46	1.12	1.41	1.45	
IT	-2.22	-1.67	-2.78	-2.08	-1.28	1.37	-1.10	-1.22	2.39	
LT	-2.50	-3.57	-2.78	-1.23	-1.04	1.15	-1.15	1.05	1.63	
LU	-2.04	-20.00	-2.44	-5.00	-1.10	1.83	1.38	1.31	1.65	
LV	-1.47	-2.08	-1.79	-2.78	1.40	1.44	-1.27	-1.09	2.04	
NL	-1.30	-10.00	-1.82	1.10	-1.08	1.49	1.17	1.91	2.43	
NO	-4.35	-2.70	-1.30	-1.89	2.08	1.81	-1.01	1.53	-1.10	
PL	-2.08	-7.14	-2.50	-1.92	-1.64	1.11	1.03	1.03	2.11	
PT	-3.57	-3.70	-3.13	-2.04	-1.67	1.16	-1.02	-1.18	2.35	
SE		-3.45	1.13		1.61	2.33	1.07	-1.23	4.91	
SI	-4.55	-3.03	-1.72	-1.22	-2.38	1.45	-1.00	1.08	1.78	
SK	-3.03	-2.63	-3.03	-1.61	1.04	1.31	-1.16	-1.09	2.16	
UK	-2.63	-4.00	-1.82	-2.00	1.08	2.49	1.26	1.52	1.73	

t2.1 Table 6.2 Relative risk ratio for persons from ISCO-88 *lowest occupational group* (9. "elementary")
t2.2 in relation to their father's occupation (*shadowed*: (9) "elementary" to (9) "elementary")

t2.3 Source: own study based on the EU-SILC 2005 module on "The intergenerational transmission of poverty." ISCO-88 occupational groups (International Standard of Classification of Occupations, 1988, used in EU-SILC) are the following: (1) *LE* legislators, senior professionals, (2) *PR* professionals, (3) *TE* technicians and associate professionals, (4) *CL* clerks, (5) *SE* service workers and shop and

- (3) TE termitians and associate processionals, (4) CE terks, (5) SE service workers and shop and t2.7 market sales workers, (6) AG skilled agriculture and fishery workers, (7) CR craft and related trades
- t2.8 workers, (8) *PL* plant and machine operators and assemblers, (9) *EL* elementary occupations

#### 742 **References**

- Allen, J., & van der Velden, R. (2011). *The flexible professional in the knowledge society: New challenges for higher education*. Dordrecht: Springer.
- Altbach, P. G., Reisberg, L., & Rumbley, L. E. (2010). *Trends in global higher education. Tracking an academic revolution.* Rotterdam: Sense.
- Archer, L., Hutchings, M., & Ross, A. (2003). *Higher education and social class. Issues of exclusion and inclusion*. London: RoutledgeFalmer.
- Arum, R., Gamoran, A., & Shavit, Y. (2007). More inclusion than diversion: Expansion, differen tiation, and market structure in higher education. In Y. Shavit, R. Arum, & A. Gamoran (Eds.),
- Stratification in higher education. A comparative study. Stanford: Stanford University Press.

Attewell, P., & Newman, K. S. (Eds.). (2010). Growing gaps. Educational inequality around the	752
world. Oxford: Oxford University Press.	753
Baranowska, A. (2011). Does horizontal differentiation make any difference? Heterogeneity of	754
educational degrees and labor market entry in Poland. In I. Kogan, C. Noelke, & M. Gebel	755
(Eds.), Making the transition: Education and labor market entry in central and eastern Europe	756
(pp. 216–239). Stanford: Stanford University Press.	757
Bernardi, F., & Ballarino, G. (2011, March 11). <i>Higher education expansion, equality of opportunity</i>	758
and credential inflation: A European comparative analysis. A conference presentation at	759
Human Capital and Employment in the European and Mediterranean Area, Bologna.	760
Biagetti, M., & Scicchitano, S. (2009). Inequality in workers' lifelong learning across European	761
countries: Evidence from EU-SILC data-set. MPRA paper no. 17356, available from http://	762
mpra.ub.uni-muenchen.de/17356/. Accessed 10 Oct 2014.	763
Bialecki, I., & Dabrowa-Szefler, M. (2009). Polish higher education in transition: Between policy	764
making and autonomy. In D. Palfreyman & D. T. Tapper (Eds.), Structuring mass higher	765
education: The role of elite institutions. London: Routledge.	766
Boni, A., & Walker, M. (Eds.). (2013). Human development and capabilities. Re-imagining the	767
university of the twenty-first century. London: Routledge.	768
Breen, R. (Ed.). (2004). Social mobility in Europe. Oxford: Oxford University Press.	769
Brighouse, H. (2010). Globalization and the professional ethic of the professoriat. In E. Unterhalter	770
& V. Carpentier (Eds.), Global inequalities and higher education. Whose interests are we serving?	771
(pp. 287–311). London: Palgrave Macmillan.	772
Brighouse, H., & Unterhalter, E. (2010). Education for primary goods or for capabilities?	773
In H. Brighouse & I. Robeyns (Eds.), Measuring justice. Primary goods and capabilities	774
(pp. 193–214). Cambridge: Cambridge University Press.	775
Brown, P., Lauder, H., & Ashton, D. (2011). The global auction. The broken promises of education,	776
jobs, and incomes. Oxford: Oxford UP.	777
Bucharest Communiqué. (2012). Making the most of our potential: Consolidating the European	778
Higher Education Area, Bucharest.	779
Causa, O., & Johansson, A. (2009a). Intergenerational social mobility (Economics Department	780
Working Papers No. 707). Paris: OECD.	781
Causa, O., & Johansson, A. (2009b). Intergenerational social mobility in European OECD	782
countries (Economics Department Working Papers No. 709). Paris: OECD.	783
Domański, H. (2000). On the verge of convergence: Social stratification in eastern Europe.	784
Budapest: CEU Press.	785
EC (2011). Supporting growth and jobs – an agenda for the modernisation of Europe's	786
higher education systems. Brussels: Communication from the European Commission.	787
COM(2011) 567/2.	788
Eurostudent. (2011). Social and economic conditions of student life in Europe. Hannover: HIS.	789
Flores-Crespo, P. (2007). Situating education in the human capabilities approach. In M. Walker &	790
E. Unterhalter (Eds.), Amartya Sen's capability approach and social justice in education	791
(pp. 45–66). New York: Palgrave.	792
Frank, R. H. (2007). Falling behind: How rising inequality harms the middle class. Berkeley:	793
University of California Press.	794
Hirsch, F. (1976). Social limits to growth. Cambridge: Harvard UP.	795
Holzer, H., et al. (2011). Where are all the good jobs going? What national and local job quality	796
and dynamics may mean for US workers. New York: Russell Sage.	797
King, R. (2004). The university in the global age. New York: Palgrave.	798
Kwiek, M. (2001). The internationalization and globalization in Central and East European	799
Higher Education. Society for Research into Higher Education International News,	800
No. 47, 3–5.	801
Kwiek, M. (2006). The university and the state. A study into global transformations. Frankfurt am	802
Main/New York: Peter Lang.	803
Kwiek, M. (2009a). The changing attractiveness of European higher education: Current develop-	804
ments, future challenges, and major policy issues. In B. Kehm, J. Huisman, & B. Stensaker	805

- (Eds.), *The European higher education area: Perspectives on a moving target* (pp. 107–124).
  Sense: Rotterdam.
- Kwiek, M. (2009b). Globalisation: Re-reading its impact on the nation-state, the university, and
  educational policies in Europe. In M. Simons, M. Olssen, & M. E. Peters (Eds.), *Re-reading education policies. A handbook studying the policy agenda of the 21st century* (pp. 195–215).
  Rotterdam: Sense.
- Kwiek, M. (2010). *Transformacje uniwersytetu. Zmiany instytucjonalne i ewolucje polityki edukacyjnej w Europie*. Poznan: Wydawnictwo Naukowe UAM (in Polish).
- Kwiek, M. (2012a). Changing higher education policies: From the deinstitutionalization to the
   reinstitutionalization of the research mission in Polish universities. *Science and Public Policy*,
   39, 641–654.
- Kwiek, M. (2012b). Universities, regional development and economic competitiveness: The Polish
   case. In P. Benneworth, G. A. Jones, & R. Pinheiro (Eds.), *Universities and regional development*.
   A critical assessment of tensions and contradictions (pp. 69–85). New York: Routledge.
- Kwiek, M. (2013a). *Knowledge production in European universities. States, markets, and academic entrepreneurialism.* Frankfurt/New York: Peter Lang.
- Kwiek, M. (2013b). From system expansion to system contraction: Access to higher education in
   Poland. *Comparative Education Review*, 57(3), 553–575.
- Kwiek, M. (2014). Structural changes in the Polish higher education system (1990–2010): A synthetic
   view. *European Journal of Higher Education*, 4(3). Online-first: http://dx.doi.org/10.1080/215
   68235.2014.905965
- Kwiek, M., & Maassen, P. (Eds.). (2012). National higher education reforms in a European
   context. Comparative reflections on Poland and Norway. Frankfurt/New York: Peter Lang.
- London Communiqué (2007). Towards the European higher education area: Responding to
   challenges in a globalised world. London.
- Mach, B. W. (2004). Intergenerational mobility in Poland: 1972-88-94. In R. Breen (Ed.), *Social mobility in Europe*. Oxford: Oxford University Press.
- 833 Marginson, S. (1997). Markets in education. St Leonards: Allen & Unwin.
- Morgan, S. L., Grusky, D. B., & Fields, G. S. (Eds.). (2009). Mobility and inequality. Frontiers of
   *research in sociology and economics*. Stanford: Stanford University Press.
- Nussbaum, M. (2010). Not for profit. Why democracy needs the humanities. Princeton: Princeton
   University Press.
- Nussbaum, M. (2011). *Creating capabilities. The human development approach.* Cambridge:
  Harvard University Press.
- 840 OECD. (2010). Going for growth. Economic policy reforms. Paris: OECD.
- 841 OECD. (2011). Education at a glance 2011. OECD indicators. Paris: OECD.
- Otto, H.-U. (2009). Social justice and social policies. In K. Schneider & H.-U. Otto (Eds.), *From employability towards capability* (pp. 45–54). Luxembourg: Inter-Actions.
- Otto, H.-U., & Ziegler, H. (Eds.). (2010). *Education, welfare and the capabilities approach*.
   *A European perspective*. Opladen/Farmington Hills: Barbara Budrich Publishers.
- Reimer, D., Noelke, C., & Kucel, A. (2008). Labor market effects of field of study in comparative
  perspective. An analysis of 22 European countries. *International Journal of Comparative Sociology*, 49(4–5), 233–256.
- Schneider, K., & Otto, H.-U. (Eds.). (2009). From employability towards capability. Luxembourg:
   Inter-Actions.
- Schomburg, H., & Teichler, U. (2006). *Higher education and graduate employment in Europe. Results from graduate surveys from twelve countries.* Dordrecht: Springer.
- 853 Sen, A. (1999). Development as freedom. Oxford: Oxford University Press.
- Shavit, Y., & Blosfeld, H.-P. (Eds.). (1993). Persistent inequality. Changing educational attain ment in thirteen countries. Boulder: Westview Press.
- Shavit, Y., Arum, R., & Gamoran, A. (Eds.). (2007). *Stratification in higher education. A comparative study.* Stanford: Stanford University Press.

Teichler, U. (Ed.). (2007). Careers of university graduates. Views and experiences in comparative	858
perspectives. Dordrecht: Springer.	859
Trow, M. (2007). Reflections on the transition from elite to mass to universal access: Forms and	860
phases of higher education in modern societies since WWII. In J. J. F. Forest & P. G. Altbach	861
(Eds.), International handbook of higher education. Dordrecht: Springer.	862
Unterhalter, E. (2010). Considering equality, equity and higher education pedagogies in the context	863
of globalization. In E. Unterhalter & V. Carpentier (Eds.), Global inequalities and higher	864
education. Whose interests are we serving? (pp. 91-116). London: Palgrave Macmillan.	865
Unterhalter, E. (2013). What is wrong with Globnal inequality in higher education? In A. Boni &	866
N. Walker (Eds.), Human development and capabilities. Re-imagining the university of the	867
twenty-first century. London/New York: Routledge.	868
Unterhalter, E., & Brighouse, H. (2007). Distribution of what for social justice in education? The	869
case of education for all by 2015. In M. Walker & E. Unterhalter (Eds.), Amartya Sen's capa-	870
bility approach and social justice in education. New York: Palgrave.	871
Unterhalter, E., & Carpentier, V. (2010). Introduction: Whose interests are we serving? global	872
inequalities and higher education. In E. Unterhalter & V. Carpentier (Eds.), Global inequalities	873
and higher education. Whose interests are we serving? (pp. 1-42). London: Palgrave Macmillan.	874
Vignoles, A. (2013). Widening participation and social mobility. In C. Callender & P. Scott (Eds.),	875
Browne and beyond. Modernizing English higher education (pp. 112–129). London: IoE Press.	876
Walker, M. (2006). Higher education pedagogies. Maidenhead: Open University Press.	877
Walker, M. (2010a). Capabilities and social justice in education. In HU. Otto & H. Ziegler (Eds.),	878
Education, welfare and the capabilities approach. A European perspective. Opladen/	879
Farmington Hills: Barbara Budrich Publishers.	880
Walker, M. (2010b). Pedagogy for rich human being-ness in global times. In E. Unterhalter &	881
V. Carpentier (Eds.), Global inequalities and higher education. Whose interests are we serving?	882
(pp. 219–240). London: Palgrave Macmillan.	883
Walker, M., & Boni, A. (2013). Higher education and human development: Towards the public and	884
social good. In A. Boni & M. Walker (Eds.), Human development and capabilities. Re-imagining	885
the university of the twenty-first century (pp. 15-29). London: Routledge.	886
Walker, M., & Unterhalter, E. (Eds.). (2007). Amartya Sen's capability approach and social justice	887
in education. New York: Palgrave.	888

Hans-Uwe Otto Editor

Facing Trajectories from	47
School to Work	48
Towards a Capability-Friendly Youth	49
Policy in Europe	50

Roland Atzmüller • Thierry Berthet	51
Lavinia Bifulco • Jean-Michel Bonvin	52
Enrica Chiappero-Martinetti • Valerie Egdell	53
Björn Halleröd • Christian Christrup Kjeldsen	54
Marek Kwiek • Regine Schröer • Josiane Vero	55
Marianna Zieleńska	56
Co-editors	57





this figure will be printed in b/w *Editor* Hans-Uwe Otto Faculty of Educational Science Bielefeld Center for Education and Capability Research Bielefeld University Bielefeld, Germany

The research leading to these results has received funding from the European Union's Seventh Framework Programme FP 7

ISSN 1871-3041 ISSN 2213-221X (electronic) ISBN 978-3-319-11435-4 ISBN 978-3-319-11436-1 (eBook) DOI 10.1007/978-3-319-11436-1 Springer Cham Heidelberg New York Dordrecht London

Library of Congress Control Number: xxxxxxxxx

#### © Springer International Publishing Switzerland 2015

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed. Exempted from this legal reservation are brief excerpts in connection with reviews or scholarly analysis or material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. Duplication of this publication or parts thereof is permitted only under the provisions of the Copyright Law of the Publisher's location, in its current version, and permission for use must always be obtained from Springer. Permissions for use may be obtained through RightsLink at the Copyright Clearance Center. Violations are liable to prosecution under the respective Copyright Law.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)